# Navigating Through the APRN License Discipline Process

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#### **GETTING OUR BEARINGS**

The Board and its Jurisdiction

Complaint and Investigation Process

Discipline and Alternatives to Discipline

Post-discipline

Other Examples and Discussion

# WHO IS THE CAPTAIN OF THIS SHIP?

#### YOU ARE!

(Consider hiring a competent attorney to co-captain.)

Think of yourself as the captain of your ship and the Nurse Practice Act as the navigable waterway

What is the role of the Board and Board staff?

# THE BOARD AND ITS JURISDICTION

Creatures ... of statute

#### DO WE NEED TO UPDATE THE MAP?

- Board discipline is always guided by the law (NPA, APA, eNLC) and the Board's own Rules and Regulations. Boards want to be lawful and consistent in discipline.
- Legislative intent, as stated in Louisiana Revised Statute 37:911
  - A. The legislature finds that the practice of nursing by qualified individuals is necessary to protect the public health, safety, and welfare of the citizens of this state.
  - B. The legislature further finds that the practice of nursing by a registered nurse and an advanced practice registered nurse should be regulated in the public interest.
  - C. Therefore, it is the legislative intent and the purpose of this Part to promote, preserve, and protect the public health, safety, and welfare by regulating nursing education and practice and ensuring that any individual practicing or offering to practice nursing or using the title "registered nurse" or "advanced practice registered nurse" shall be licensed before engaging in such practice.

# COMPLAINT AND INVESTIGATION PROCESS

# COMPLAINT-BASED INVESTIGATION PROCESS



#### **COMPLAINT**

\* Passive process

\* Form requirements

\* Sources of
complaints



#### **SCREENING**

\* Identifiable NPA violation?

\* Sufficiently serious?

\* Appropriate for informal disposition?



## ASSIGN FOR INVESTIGATION

\* Assign priority level (risk-based) \* Assign to a Board

\* Assign to a Board investigator



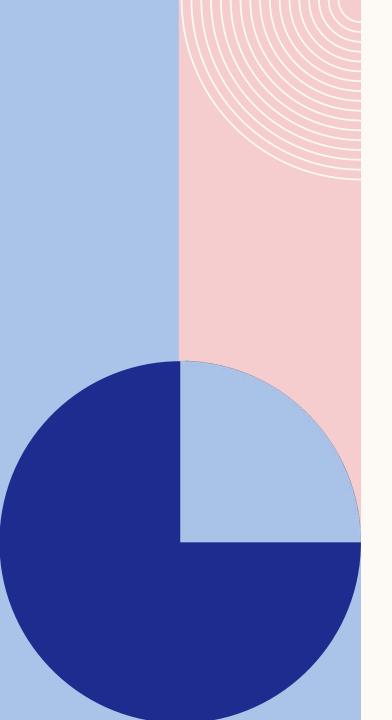
## THE INVESTIGATION

- \* DUE PROCESS
- \* Informal Demand
  - \* Obligation to Cooperate
- \* Investigation tools



#### REPORT AND RECOMMENDATION

- \* Substantiated or not?
- \* Review process
- \* Confidential vs. discoverable reports



#### ISN'T THAT WATER UNDER THE BRIDGE?

#### "STATUTE OF LIMITATIONS"

Does your jurisdiction have time limit on the investigation and prosecution of license discipline?

#### **USE TIME TO MITIGATE**

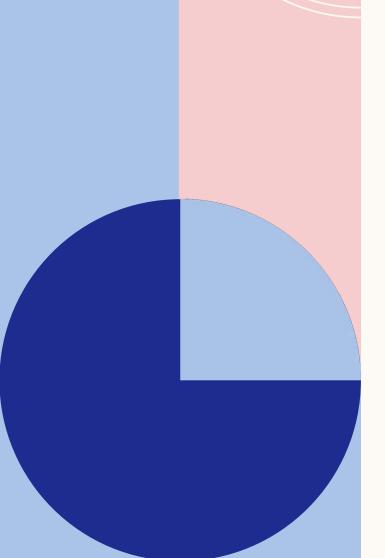
- Be strategic
- When to report?
  - Early
  - Renewal
  - Failure to disclose

# DISCIPLINE AND ALTERNATIVE TO DISCIPLINE

### TRIM THE SAILS

- Discipline
  - Reprimand / probation / suspension / revocation
- No discipline
  - Informal reprimand
  - Ending letter
- Referral to alternative to discipline (ATD) program

- Findings of fact
- Other sanctions and stipulations
- NPDB/Nursys Reporting
- RN and/or APRN license



#### **SINK OR SWIM**



- Consent / agreed / settlement orders
- Ratified by Board
- No right to appeal



- DUE PROCESS
- Cost structure
- Rules of evidence
- Proposed orders



#### **APPEAL**

- Exhaust administrative remedies
- Reconsideration and/or rehearing
- Right of appeal

## Alternative to Discipline Program

#### THREE SHEETS TO THE WIND

Alcohol and drug-related conduct

- On-duty
- Off-duty
- Pre- and/or lapsed licensure

## **POST-DISCIPLINE**

## REINSTATEMENT OF LICENSE(S)

Progressive lifting of restrictions

RN license reinstatement with probation prior to APRN license reinstatement

#### **NAVIGATIONAL AIDS**

- Board-approved evaluations
  - Inpatient / outpatient / forensic / focused
- Documented disclosure of all issues before the Board (findings of fact)
- Second opinions
- Board-ordered vs. voluntary evaluations

# COMMON PROBATION STIPULATIONS

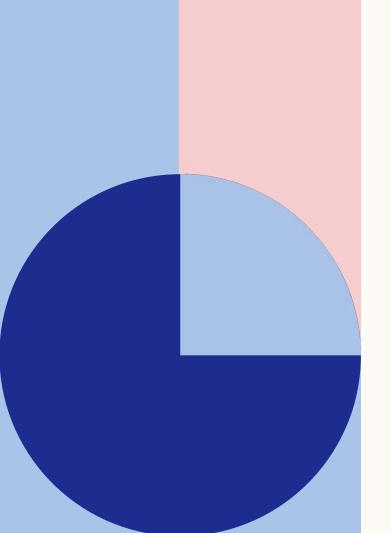
#### **LEARN THE ROPES**

#### **Scope of Practice**

- Always evolving
- Decision making pathways to help RNs and APRNs reach answer on scope of practice

#### **Prescriptive Authority**

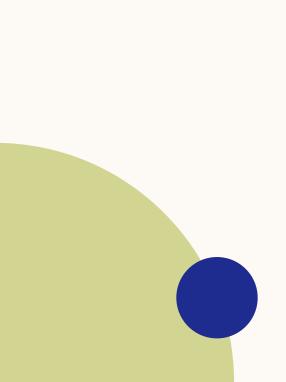
- Differs by state and territory know the laws of your jurisdiction!
- More than just prescribing narcotics and controlled substances



## FLOTSAM AND JETSAM

Other Examples and Discussion

## LAND HO!



# THANK YOU FOR TAKING THIS VOYAGE WITH ME!

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